External Validation of the COVID-NoLab and COVID-SimpleLab Prognostic Tools

Mark H. Ebell, MD, MS⁴ Robert P. Lennon, MD² Derjung M. Tarn, MD, PbD³ Bruce Barrett, MD, PbD⁴ Alex H. Krist, MD, MPH⁵ Huamei Dong, PbD⁶ Xinyan Cai, MPH⁴ Arch G. Mainous III, PbD⁷ Aleksandra E. Zgierska, MD, PbD⁸ Wen-Jan Tuan, DHA, MS, MPH² Munish Goyal, MD⁹

¹Department of Epidemiology and Biostatistics, College of Public Health, University of Georgia, Athens, Georgia

²Department of Family and Community Medicine, Penn State College of Medicine, Hershey, Pennsylvania

³Department of Family Medicine, David Geffen School of Medicine at UCLA, University of California–Los Angeles, Los Angeles, California

⁴Department of Family Medicine and Community Health, University of Wisconsin, Madison, Wisconsin

⁵Department of Family Medicine, Virginia Commonwealth University, Richmond, Virginia

⁶Department of Public Health Sciences, Penn State College of Medicine, Hershey, Pennsylvania

⁷Department of Health Services Research, Management and Policy, University of Florida, Gainesville, Florida

⁸Departments of Public Health Sciences, and Anesthesiology and Perioperative Medicine, Penn State College of Medicine, Hershey, Pennsylvania

⁹Department of Emergency Medicine, MedStar Washington Hospital Center, Washington, DC



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CORRESPONDING AUTHOR

Mark H. Ebell UGA Health Sciences Campus 125 BS Miller Hall Athens, GA 30602 <u>ebell@uga.edu</u>

ABSTRACT

Our objective was to externally validate 2 simple risk scores for mortality among a mostly inpatient population with COVID-19 in Canada (588 patients for COVID-NoLab and 479 patients for COVID-SimpleLab). The mortality rates in the low-, moderate-, and high-risk groups for COVID-NoLab were 1.1%, 9.6%, and 21.2%, respectively. The mortality rates for COVID-SimpleLab were 0.0%, 9.8%, and 20.0%, respectively. These values were similar to those in the original derivation cohort. The 2 simple risk scores, now successfully externally validated, offer clinicians a reliable way to quickly identify low-risk inpatients who could potentially be managed as outpatients in the event of a bed shortage. Both are available online (https://ebell-projects.shinyapps.io/covid_nolab/ and https://ebell-projects.shiny apps.io/COVID-SimpleLab/).

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INTRODUCTION

A ccurate, easy-to-use prognostic tools may help optimize management decisions for patients with COVID-19, potentially reducing inpatient burden by identifying patients at low risk for inpatient death. Most previous risk scores include laboratory tests or imaging studies that make them cumbersome and timeconsuming to implement.¹⁻⁴ Simpler risk scores have been proposed²⁻⁵ but have not been externally validated in North America. We therefore developed and internally validated the COVID-NoLab risk score (which uses only clinical findings) and the COVID-SimpleLab risk score (which uses clinical findings and simple laboratory measures) in a US population to predict mortality among inpatients with COVID-19.⁶ The objective of the current study was to externally validate these risk scores.

METHODS

We obtained a deidentified database of 637 adults with confirmed COVID-19 infection from the Biobanque Québécoise de la COVID-19 (<u>https://www.bqc19.</u> ca/), selecting patients who presented to 11 hospitals in Quebec, Canada between March 8, 2020 and February 22, 2021 (85% were admitted).⁷ Patients with missing data were excluded, leaving 588 patients for validation of the COVID-NoLab score and 479 patients for validation of the COVID-SimpleLab score. The risk scores and their components are summarized in Table 1.

Each patient in the Quebec cohort was categorized as having low, moderate, or high risk for mortality using the original cutoffs identified for the COVID-NoLab and COVID-SimpleLab tools. Classification accuracy was assessed by determining the mortality rate in each risk group. The area under the receiver operating characteristic curve (AUROC) was used as a measure of overall discrimination. We compared accuracy in patients admitted between March 8, 2020 and July 14, 2020 (early) and in patients admitted between July 15, 2020 and February 22, 2021 (late) to evaluate prediction stability over time. The Pearson χ^2 test was used to compare proportions, the Welch *t* test to compare means, and the Mann-Whitney *U* test to compare medians between groups. Analysis was performed using Stata version 17.0 (Stata Corp).

RESULTS

Patients in the Quebec cohort were significantly older than those in the original US derivation population, aged 66.0 years vs 60.4 years in the COVID-NoLab sample

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Risk Score and Components	Points	
COVID-NoLaba		
Age group		
50-65 y	3	
≥66 у	5	
Respiratory rate ≥30	3	
Oxygen saturation <93%	2	
COVID-SimpleLabb		
C-reactive protein level >10 mg/dL	5	
Respiratory rate ≥30	5	
Oxygen saturation <93%	4	
Age group		
50-65 у	6	
≥66 у	8	
Asthma	4	
White blood cell count >10 $ imes$ 10 ⁹ /mL	3	
Serum creatinine level >2.0 mg/dL	4	

^b Score range is 0 to 33 points. Risk groups: low (0-7 points), moderate (8-11 points), high (≥12 points).

and aged 66.7 years vs 61.4 years in the COVID-SimpleLab sample. Mortality was also slightly lower overall in both the COVID-NoLab sample (10.5% vs 13.8%, P = .05) and in the COVID-SimpleLab sample (11.3% vs 14.3%, P = .95). There were no clinically important differences between groups with regard to respiratory and laboratory parameters (Supplemental Table 1).

Both risk scores validated well, with no clinically meaningful differences in the mortality rates for each risk group between the original derivation cohort and the Quebec cohort, other than a somewhat lower mortality in the highrisk group (Table 2). In the Quebec cohort, the COVID-NoLab model identified a low-risk group with 1 death in 95 patients, while the COVID-SimpleLab model identified a lowrisk group with 0 deaths in 126 patients. The COVID-NoLab model had AUROC of 0.72 in the Quebec cohort, while the COVID-SimpleLab model had an AUROC of 0.73. Full data are shown in **Supplemental Table 2**.

There were no significant differences in classification accuracy between the early and late subcohorts (<u>Supplemental</u> <u>Table 3</u>). Among the 102 patients in the Quebec COVID-NoLab sample who were not admitted, there was only 1 death in a patient classified as moderate risk (<u>Supplemental Table 4</u>) and no deaths in the Quebec COVID-SimpleLab sample. We also developed and internally validated models for settings in which clinicians have access to only the white blood cell count or only the C-reactive protein level (available on request). Although both models were able to identify highrisk patients, in each case, the low-risk group in the validation data sets had an appreciably higher mortality rate than the corresponding group in the derivation data set (4.4% vs 0.0% for both models).

DISCUSSION

We have validated 2 previously reported COVID-19 risk scores that are simple and rapid to use, demonstrating generalizability across patient populations at different time points in the pandemic. The COVID-NoLab model does not require laboratory testing and patients in the low-risk group had only 1% mortality, while no deaths were seen in the low-risk group for the COVID-SimpleLab score. Use of these tools may help decrease unnecessary admissions during COVID-19 surges.

Risk Group ^a	Original Derivation Cohort ^b			Quebec Validation Cohort ^c			
	Patients, %	Mortality Rate, % (95% CI)	SSLR	Patients, %	Mortality Rate, % (95% Cl)	SSLR	P Value ^d
COVID-NoLab							
Low	22	1.3 (0.4-3.4)	0.10	16	1.1 (0.02-5.7)	0.10	.83
Moderate	67	11.3 (9.4-13.4)	0.93	64	9.6 (6.8-13.0)	0.91	.36
High	11	30.5 (24.4-37.0)	3.20	20	21.2 (14.2-30.0)	2.00	.07
COVID-SimpleLab							
Low	31	0.4 (0.01-2.4)	0.03	26	0.0 (0.0-2.8)	0.00	.46
Moderate	31	8.3 (5.0-12.6)	0.60	34	9.8 (5.7-15.5)	0.87	.59
High	38	30.6 (25.3-36.4)	2.20	40	20.0 (14.6-26.4)	1.80	.01

Table 2. Comparison of Predicted Mortality Between Original Derivation Cohort and Quebec Validation Cohort

SSLR = stratum-specific likelihood ratio.

^a See Table 1 for cutoffs for the risk groups.

^b Cohort had 1,527 patients for the COVID-NoLab risk score and 740 patients for the COVID-SimpleLab risk score.

^c Cohort had 588 patients for the COVID-NoLab risk score and 479 patients for the COVID-SimpleLab risk score.

^d From the Pearson χ^2 test for the comparison of mortality rates between cohorts.

Another study evaluated the COVID-NoLab and COVID-SimpleLab risk scores in a French population of 14,343 inpatients and found AUROC values similar to those in the Quebec population (0.70 for COVID-NoLab and 0.71 for COVID-SimpleLab).⁸ For the COVID-NoLab score, mortality rates were 1.5% in the low-risk group, 14.6% in the moderate-risk group, and 31.1% in the high-risk group. For the COVID-SimpleLab score, the mortality rates were 2.4% in the low-risk group, 12.8% in the moderate-risk group, and 28.2% in the high-risk group.

Strengths of our study include provision of 2 models that use somewhat different data, enabling clinicians with different laboratory access to make accurate COVID-19 risk stratifications; also, these risk scores have now been successfully externally validated in 2 other countries and at different times in the pandemic. A limitation is that overall discrimination was not quite as good in the validation cohorts (0.70-0.73 vs 0.80-0.81 in the derivation cohort). Both risk scores, however, successfully identified clinically useful low-risk groups in the new cohort. It will also be important to continue to test the models over time to ensure their accuracy as new variants emerge. The risk scores have not been validated in an outpatient setting, so it is not known whether they predict clinical deterioration in outpatients with COVID-19. Among patients discharged home from the emergency department in the Quebec cohort, there was only 1 death among those classified as having moderate or high risk. The overall clinical impression of physicians therefore is an important factor, and as for any clinical prediction rule, these scores should be used only to supplement that judgement.

The COVID-NoLab and COVID-SimpleLab risk scores have been successfully validated in 2 new populations of hospitalized patients from different countries and at later times in the pandemic. Appropriate use in conjunction with clinical judgement may decrease unnecessary hospital admissions for low-risk patients. We have made the COVID-NoLab risk score available at <u>https://ebell-projects.shinyapps.io/covid_</u> <u>nolab/</u> and the COVID-SimpleLab risk score at <u>https://ebellprojects.shinyapps.io/COVID-SimpleLab/</u>.

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Key words: COVID-19; SARS-CoV-2; risk score; clinical prediction rule; mortality; external validation; inpatients; risk assessment; risk factors; clinical decision-making

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Supplemental materials

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