

**Supplemental materials for:**

Mitchell SE, Laurens V, Weigel G, et al. Care transitions from patient and caregiver perspectives. *Ann Fam Med*. 2018;16(3):225-231.

## APPENDIXES

### Appendix A: COREQ Checklist

No	Item	Guide questions/description	Response
<b>Domain 1: Research team and reflexivity</b>			
1.	Interviewer/facilitator	Which author/s conducted the interview or focus group?	
2.	Credentials	What were the researcher's credentials?	
3.	Occupation	What was their occupation at the time of the study	
4.	Gender	Was the researcher male or female	All 8 researchers who interviewed participants were female
5.	Experience and training	What experience of training did the researcher have?	All interviewers are experienced qualitative researcher. Interviewed guides and focus group protocols were created by the interviewers for all to use on all interviews to ensure consistency of line of questioning and interviewing techniques.
<b>Relationship with participants</b>			
6.	Relationship established	Was a relationship established prior to study commencement?	No relationship was established with participants prior to the study.
7.	Participant knowledge of the interviewer	What did the participants know about the researcher? e.g. personal goals, reasons for doing research	Participants knew that the intent of the study was to learn about their experience as a patient or caregiver in going through a care transition. All participants also knew that the researchers were part of the national Project ACHIEVE research team.
8.	Interviewer characteristics	What characteristics were reported about the interviewer/facilitator? e.g. Bias, assumptions, reasons and interests in the research topic	
<b>Domain 2: Study design</b>			
<b>Theoretical framework</b>			
9.	Methodological orientation and theory	What methodological orientation was stated to underpin the study? e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis	We used a grounded theory approach with constant comparative analysis.
<b>Participant selection</b>			
10.	Sampling	How were participants selected? e.g. purposive, convenience, consecutive, snowball	Participants were recruited through purposive, convenience sampling and snowballing.
11.	Method of approach	How were participants approached? E.g. face-to-face	Participants were recruited via flyers, face-to-face in the clinic/hospital where they receive their care and through snowball

			sampling.
12.	Sample size	How many participants were in the study?	248 participants (138 patients and 110 family caregivers) participated in the study.
13.	Non-participation	How many people refused to participate or dropped out? Reasons?	1 participant rescinded permission to use his interview because he felt the interview was too personal and felt uncomfortable with us using it, even though it would be stripped of all identifiers.
<b>Setting</b>			
14.	Setting of data collection	Where was the data collected? e.g. home, clinic, workplace	Focus groups and interviews were conducted at clinic/hospital where patients receive their care.
15.	Presence of non-participants	Was anyone else present besides the participants and researchers?	No, only participants and researchers were present during interviews and focus groups.
16.	Description of sample	What are the important characteristics of the sample? e.g. demographic data, date	The demographics and characteristics of the participants are shown in table 1.
<b>Data collection</b>			
17.	Interview guide	Were questions, prompts, guides provided by the authors? Was it pilot tested?	A semi-structured interview guide was used to guide the conversation.
18.	Repeat interviews	Were repeat interviews carried out? If yes, how many?	We did not carry out any repeat interviews.
19.	Audio/visual recording	Did the research use audio or visual recording to collect the data?	All interviews and focus groups were audio recorded and transcribed verbatim
20.	Field notes	Were field note made during and/or after the interview or focus group?	Yes, all researchers took field notes during interviews, focus groups, and observations.
21.	Duration	What was the duration of the interviews or focus group?	Focus groups lasted between an hour and an hour and a half. Interviews lasted between 30 minutes and 1 hour
22.	Data saturation	Was data saturation discussed?	Yes, data saturation was discussed.
23.	Transcripts returned	Were transcripts returned to participants for comment and/or correction?	No. All transcripts were reviewed by members of the research team to ensure accuracy.
<b>Domain 3: analysis and findings</b>			
<b>Data analysis</b>			
24.	Number of data coders	How many coders coded the data?	A team of 10 research assistants coded the interviews under the guidance of the researchers.
25.	Description of the coding tree	Did authors provide a description of the coding tree?	Codes and themes were derived from the data using a grounded theory approach.
26.	Derivation of themes	Were themes identified in advance or derived from	

		the data?	
27.	Software	What software, if applicable, was used to manage the data?	QSR NVivo version 12
28.	Participant checking	Did participants provide feedback on the findings?	Results have not been shared with participants
<b>Reporting</b>			
29.	Quotations presented	Were participant quotations presented to illustrate the themes/findings? Was each quotation identified? e.g. participant number	Yes, exemplary quotations were presented to illustrate the themes.
30.	Data findings consistent	Was there consistency between the data presented and the findings?	Yes
31.	Clarity of major themes	Were major themes clearly presented in the findings?	Yes
32.	Clarity of minor themes	Is there a description of diverse cases or discussion of minor themes?	Yes